Welcome

Please help yourself to breakfast.
Agenda

8:30 - 8:45am  Registration and Breakfast
8:45 - 8:55am  Welcome
8:55 - 9:25am  Rhea Hubbard and Jeannette Mandycz Connor, OMB
9:25 - 9:55am  Q&A and Discussion
9:55 - 10:00am Closing
DATA STANDARDS
A Foundation for Results-Oriented Accountability for Grants

CAP Goal #8: Results-Oriented Accountability for Grants
Grants Management Breakfast Forum
December 11, 2018

Rhea Hubbard and
Jeannette Mandycz Connor
OFFICE OF MANAGEMENT AND BUDGET
What Is Different?

Government modernization will be rooted in the intersection of transforming technology, data, process, and people rather than working in silos.

Video: https://www.performance.gov/PMA/PMA.html
IT Modernization Framework

- IT Modernization Report Opportunities
- Agency IT Transformation Priorities
- Administration IT Priorities

ENABLING CAPABILITIES

- Executive Orders and Policy
- Legislation
- Implementation Support
- Flexible Funding Vehicles
- Innovative Acquisition Capabilities
A Federal Data Strategy for Today’s Work Environment

Enterprise Data Governance

- Access, Use, & Augmentation
- Commercialization, Innovation, & Public Use
- Decision-Making & Accountability

4 Crosscutting Drivers of Change
- Policy
- People
- Process
- Platform
People - Workforce of the Future

STRATEGIC WORKFORCE MANAGEMENT

Actively Manage the Workforce
Improve Employee Performance Management & Engagement

Develop Agile Operations
Reskill & Redeploy Human Capital Resources

Acquire Top Talent
Simple & Strategic Hiring

ENABLERS:
- Leverage data and analytics
- Utilize information technology and tools
- Align financial models/incentives
- Simplify legal and policy framework (requires legislative and regulatory change)

CONTINUOUS LEARNING: INNOVATIONS, RESEARCH & PILOT PROJECTS
Cross Agency Priority (CAP) Goals

- IT Modernization
- Data, Accountability and Transparency
- People - Workforce for the 21st Century
- Improving Customer Experience
- Sharing Quality Services
- Shifting From Low-Value to High-Value Work

- Category Management
- Results-Oriented Accountability for Grants
- Getting Payments Right
- Federal IT Spending Transparency
- Improve Management of Major Acquisitions
- Modernize Infrastructure Permitting

- Security Clearance, Suitability, and Credentialing Reform
- Lab-to-Market
Oversight Community
- Weak internal controls
- Funds mismanagement
- Fraud
- Improper payments

Recipients and the Communities they Serve
- Burden
- Fragmentation
- Conflicting guidance

Federal Awarding Agencies
- Need for improved coordination across lines of businesses and program
- Opportunities to improve access to and quality of data
- Unstandardized business process
Overview - Results Oriented Accountability for Grants

**Goal Statement**
- Maximize the value of grant funding by applying a risk-based, data-driven framework that balances compliance requirements with demonstrating successful results for the American taxpayer.

**Challenge**
- The Federal government uses grants to invest approximately $700 billion each year in mission-critical needs for American taxpayers, but managers report spending 40% of their time using antiquated processes to monitor compliance instead of analyzing data to improve results.

**Opportunity**
- Identify, open, standardize, and link critical data sets to power data analytics to enhance financial stewardship, performance management, and accountability. Use digital tools to modernize antiquated compliance processes. Leverage available data such as those produced by annual audits of recipients to drive a risk-based framework for performance management that drives results.
Standardize Data

Identify, open, standardize, and link critical data sets to power analytics to enhance financial stewardship, performance management, and accountability.

Digital Tools to Manage Risk

Use digital tools to modernize antiquated form-based compliance processes to assess and manage risk.

Risk-Based Performance Management

Leverage existing data such as those produced by annual audits of recipients to drive a risk-based framework for performance management that drives results.

Better Results
Federal interagency workgroup has developed 426 draft data elements.
A New Risk-Based, Data-Driven Framework

Data generated throughout that may be leveraged for improved performance management

- Past performance results
- Award selection and issuance
- Post-award reporting
- Recipient audit
- Financial and compliance reviews
- Performance reviews
● Developed core capabilities for grants management in accordance with the Federal Integrated Business Framework
● Released 2018 ‘skinny’ Single Audit Compliance Supplement
● Drafted Risk Management Framework
● Drafted single audit resolution and risk-management tool business capabilities
● Drafted single audit resolution and risk-management tool business capabilities
● Issued M-18-24 Strategies to Reduce Grant Recipient Reporting Burden
● Released draft core data elements for public comment
Ongoing and Future Work

- Solicit information from agencies on current grants performance management practices
- Analyze public feedback on the draft core data standards
- Launch government-wide readiness assessment to evaluate agency system baselines against standards
- Identify opportunities and tools to standardize grants management information collections
- Plan implementation of standardized solution for single audit and risk management
- The Opportunity Project
Led by the U.S. Department of Census, The Opportunity Project (TOP) is a government wide, open-innovation initiative that engages the technology industry with government to create data-driven digital products that benefit the public and drive economic growth.
M-18-24 – Strategies to Reduce Grant Recipient Reporting Burden

• Centralized Certifications and Representations
  • System for Award Management to become the central repository for common certs & reps
  • Rolling implementation throughout 2019 as recipients register or renew in SAM
  • Agencies to issue guidance

• Agency Evaluation of Recipient Data
  • Agencies to evaluate systems and other methods used to collect information from recipients to determine duplicative processes

• Adoption of Grants Management Data Standards
  • Agencies to develop plan by April 30, 2019, that describes strategy to integrate new data standards into current/future systems and to migrate to shared services

• Readiness Assessment
  • OMB to provide tool to agencies to identify systems, resources and policies associated with grants management
  • Tool will evaluate agency system baselines against grants management data standards and maturity expectations
Ways to Engage

• Data Standards
  • Data Standards available on https://grantsfeedback.cfo.gov/ and comments may be posted through that website on Github
    • The comment period will remain open until January 15, 2019, and all comments posted there are available for public review and comment
  • Federal agencies are required to prepare for implementation of data standards in accordance with M-18-24

• Stakeholder Engagement Plan
  • OMB is actively reviewing opportunities to notify and engage stakeholders

• Federal agencies are encouraged to respond to the CAP 8: Performance Work Group Survey
Results Oriented Accountability for Grants
Community of Practice Website:

https://digital.gov/communities/grants/

Visit the community of practice website to:

- Join the listserv to learn about exciting updates
- View the stakeholder outreach strategy
- Read and provide feedback to work products as they become available
- Stay up to date on the latest speaking engagements
- Much more!
Check out the President’s Management Agenda (PMA) website for quarterly updates: [www.performance.gov](http://www.performance.gov)

Follow the PMA on Twitter: [@PerformanceGov](https://twitter.com/PerformanceGov)

Follow the Chief Financial Officer’s Council: [www.CFO.gov](http://www.CFO.gov)

Opportunity.Census.Gov
Questions and Final Thoughts